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| APPLICATION NO.  | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|--|-------------|----------------------|---------------------|------------------|
| 10/581,205   | 06/01/2006  | Isao Ochi            | 20060853A           | 2326             |
| 513 7590 10/14/2009<br>WENDEROTH, LIND & PONACK, L.L.P.<br>1030 15th Street, N.W.,<br>Suite 400 East |             |                      | EXAMINER            |                  |
|  |             |                      | KRAUSE, ANDREW E    |                  |
| Washington, DC 20005-1503  |             | ART UNIT             | PAPER NUMBER        |                  |
|  |             |                      | 1794                |                  |
|  |             |                      |                     |                  |
|  |             |                      | MAIL DATE           | DELIVERY MODE    |
|  |             |                      | 10/14/2009          | PAPER            |

# Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

| Office Action Summary  Examiner ANDREW KRAUSE  The MAILING DATE of this communication appears on the cover sheet with the correspondence address Period for Reply  |  |  |  |  |  |
|--|--|--|--|--|--|
| ANDREW KRAUSE 1794  The MAILING DATE of this communication appears on the cover sheet with the correspondence address  |  |  |  |  |  |
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| • •  |  |  |  |  |  |
| A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.  - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.  - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.  - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b). |  |  |  |  |  |
| Status   |  |  |  |  |  |
| 1) Responsive to communication(s) filed on <u>24 July 2009</u> .   |  |  |  |  |  |
| 2a) This action is <b>FINAL</b> . 2b) $\boxtimes$ This action is non-final.  |  |  |  |  |  |
|  |  |  |  |  |  |
| 3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D. 11, 453 O.G. 213.   |  |  |  |  |  |
| closed in accordance with the practice under Lx parte Quayle, 1930 C.D. 11, 400 C.C. 210.  |  |  |  |  |  |
| Disposition of Claims  |  |  |  |  |  |
| 4) Claim(s) 1 and 3-6 is/are pending in the application. 4a) Of the above claim(s) is/are withdrawn from consideration.  5) Claim(s) is/are allowed.  6) Claim(s) 1 and 3-6 is/are rejected.  7) Claim(s) is/are objected to.  8) Claim(s) are subject to restriction and/or election requirement.   |  |  |  |  |  |
| Application Papers   |  |  |  |  |  |
| 9)☐ The specification is objected to by the Examiner.  |  |  |  |  |  |
| 10)☐ The drawing(s) filed on is/are: a)☐ accepted or b)☐ objected to by the Examiner.  |  |  |  |  |  |
| Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  |  |  |  |  |  |
| Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).   |  |  |  |  |  |
| 11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.   |  |  |  |  |  |
| Priority under 35 U.S.C. § 119   |  |  |  |  |  |
| <ul> <li>12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).</li> <li>a) All b) Some * c) None of:</li> <li>1. Certified copies of the priority documents have been received.</li> <li>2. Certified copies of the priority documents have been received in Application No</li> <li>3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).</li> <li>* See the attached detailed Office action for a list of the certified copies not received.</li> </ul>  |  |  |  |  |  |
| Attachment(s)  |  |  |  |  |  |
| 1) Notice of References Cited (PTO-892)  2) Notice of Draftsperson's Patent Drawing Review (PTO-948)  3) Information Disclosure Statement(s) (PTO/SB/08)  Paper No(s)/Mail Date  4) Interview Summary (PTO-413)  Paper No(s)/Mail Date  5) Notice of Informal Patent Application  6) Other:  |  |  |  |  |  |

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#### **DETAILED ACTION**

#### Continued Examination Under 37 CFR 1.114

1. A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR 1.114. Applicant's submission filed on 7/24/09 has been entered.

### Claim Rejections - 35 USC § 112

- 2. The following is a quotation of the second paragraph of 35 U.S.C. 112:

  The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.
- 3. Claims 1,3-6 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.
- 4. Claim 1 recites a soybean protein and liquid sugar plastic mixture comprising 38% soybean protein and 60% sugar in the form of a liquid. In light of the term 'consisting essentially of' in the claim, it is unclear what components if any may be present in the remaining 2% by weight of the plastic mixture.

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### Claim Objections

5. Claim 1 objected to because of the following informalities:

6. It is suggested to modify line 2 of claim 1 to read '...soybean protein and <u>a</u> sugar in the form of a liquid' and line 4 of claim 1 to read '...wheat flour or wheat flour <u>with</u> and wheat dough raw materials...'

### Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

- (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- 7. The factual inquiries set forth in *Graham* **v.** *John Deere Co.*, 383 U.S. 1, 148 USPQ 459 (1966), that are applied for establishing a background for determining obviousness under 35 U.S.C. 103(a) are summarized as follows:
  - 1. Determining the scope and contents of the prior art.
  - 2. Ascertaining the differences between the prior art and the claims at issue.
  - 3. Resolving the level of ordinary skill in the pertinent art.
  - 4. Considering objective evidence present in the application indicating obviousness or nonobviousness.
- 8. Claims 1,3-6 are rejected under 35 U.S.C. 103(a) as being unpatentable over Sugihara (JP 05023094-English Translation).

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9. Grenby (Properties and Applications of Isomalt and Bulk Sweeteners) is cited as evidence.

- 10. Sugihara discloses a method of producing wheat flour doughs comprising soy protein, for example as a sponge cake. The method proceeds by first producing a plastic mixture consisting essentially of liquid sorbitol and soybean protein ([0025]). This plastic mixture is produced and then added to wheat flour and wheat dough raw materials such as fats or oils, eggs, and powdery sugars ([0026], [0030]). The plastic mixture containing soybean protein and sugar in the form of a liquid contains 88.808 total parts of ingredients, and about 59.208 parts of anhydrous solid matter. The soybean protein makes up approximately 13.5% of the anhydrous solid matter and the sorbitol makes up approximately 81% of the total plastic mixture, which is considered to be in such close proportion to the claimed 80% sugar in the form of a liquid so as to have the same properties.
- 11. Regarding claim 3, as evidenced by Grenby, sorbitol solutions having concentrations as used in Sugihara possess water activities lower than 0.95 (see Figure, curve b).
- 12. Regarding claim 4, the amount of soybean protein present in the wheat dough of Sugihara will be 6 parts out of 360 total parts ([0030]), or 1.67%.
- 13. Regarding claim 5 the dough is baked ([0031]).

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14. Regarding claim 6, canola (rapeseed) oil is used ([0025]).

## Response to Arguments

15. Applicant's arguments with respect to claims 1, 3-6 have been considered but are moot in view of the new ground(s) of rejection.

#### Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to ANDREW KRAUSE whose telephone number is (571)270-7094. The examiner can normally be reached on 7:30-5.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Keith Hendricks can be reached on (571)272-1401. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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Information regarding the status of an application may be obtained from the

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USPTO Customer Service Representative or access to the automated information

system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/ANDREW KRAUSE/

Examiner, Art Unit 1794

/Keith D. Hendricks/

Supervisory Patent Examiner, Art Unit 1794